

# AVAILABILITY OF GAST D GBAS CONSIDERING CONTINUITY OF AIRBORNE MONITORS\*

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## BIOGRAPHIES

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## ABSTRACT

Standards are being developed for using a ground based augmentation system (GBAS) to provide guidance for CAT III approach and landing operations, known as GBAS Approach Service Type D (GAST D). To evolve from CAT I (GAST C) to GAST D an additional method for mitigating potential errors due to ionospheric anomalies must be implemented in the aircraft. This paper considers the continuity risk from monitors and protection levels affected by this additional ionospheric anomaly mitigation. Three such processes are analyzed: 1) dual smoothing ionospheric gradient monitoring algorithm (DSIGMA) monitor, 2) fault-free vertical protection level ( $VPL_{H0}$ ) and 3) reference receiver fault monitor (RRFM). A geometry constraint needed to independently ensure acceptable continuity risk for each process is first identified. The constraint needed for RRFM has already been included in specifications. However, only geometry constraints needed for adequate integrity protection with DSIGMA have been specified. Thus, the analysis assesses whether continuity constraints for DSIGMA and  $VPL_{H0}$  must also be implemented. The analysis determines the continuity risk from enforcing all

or only some of these constraints. Results show that the  $VPL_{H0}$  continuity risk predominates and a corresponding constraint is needed. However, given only the constraint for  $VPL_{H0}$  the continuity risk from DSIGMA could arguably be acceptable. Moreover, even if all constraints are enforced availability of adequate satellite geometry is no less than that limited by the separate geometry constraints needed for proper integrity protection with DSIGMA.

## INTRODUCTION

### Background

Concepts have been developed and standards are being finalized for using a ground based augmentation system (GBAS) to provide guidance for CAT III approach and landing operations, known as GBAS Approach Service Type D (GAST D) [1, 2, 3]. The evolution of CAT I GBAS (GAST C) to GAST D has involved some changes and additions to monitors and protection levels in the airborne equipment. In particular, in order to mitigate the effect of ionospheric anomalies, the GAST D airborne equipment will compute the difference between vertical position solutions based on data and corrections smoothed with 30 s time constant and those smoothed with 100 s time constant. Comparison of the magnitude of this difference,  $D_v$ , to a threshold will be done by a dual smoothing ionospheric gradient monitoring algorithm (DSIGMA) [4]. Some aircraft-dependent geometry constraints will also be enforced to limit the error due to ionospheric anomalies not detected by DSIGMA [3, 4]. To further mitigate the effects of ionospheric anomalies, aircraft guidance will be based on the 30 s solution. However, integrity data broadcast from the ground are associated primarily with the 100 s solution. Therefore, protection levels and other fault monitors operating in the aircraft must also include the  $D_v$  term (and an analogous

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$D_L$  term if applied in the lateral dimension) to ensure integrity of the 30 s solution used for guidance.

### Purpose and Organization of Paper

This paper considers the continuity risk from monitors and protection levels due to effects of the new GAST D ionospheric mitigation in the aircraft. The paper begins with discussion of a model for the characteristics of the vertical difference,  $V_{diff}$ , between the 30 s solution and the 100 s solution. Then the paper presents a brief summary of each monitor and protection level that includes  $D_V$ , the magnitude of  $V_{diff}$ . Separate subsections are included for the DSIGMA monitor, the fault-free vertical protection level ( $VPL_{H0}$ ) and the reference receiver fault monitor (RRFM). Each section identifies the geometry constraint needed to independently ensure a continuity risk allocation for each monitor or protection level. The actual continuity risk allocations that have been previously proposed for GAST D are then summarized. Then theoretical predictions for the effect of each constraint on limiting the standard deviation of  $V_{diff}$  are derived. Continuity results are presented and compared to the theoretical predictions to indicate the interplay of the various constraints. Finally availability results are presented for various cases of implementing some or all of the constraints. The paper concludes with a summary.

### CHARACTERIZATION OF VERTICAL SOLUTION DIFFERENCE $V_{diff}$

Let the vertical component of the difference between position solutions based on 30 s smoothing and 100 s smoothing be denoted  $V_{diff}$ . Then  $D_V$  is the magnitude of that difference

$$D_V = |V_{diff}| \quad (1)$$

Although  $V_{diff}$  is defined in terms of a difference in the position domain, it can also be expressed in terms of differences of the underlying range domain quantities for individual satellites as follows

$$V_{diff} = \sum_{i=1}^N S_{vert,i} \times D_{R,i} \quad (2)$$

Where for the  $i$ th satellite,  $D_{R,i}$  is the difference between the differentially corrected range based on 30 s smoothed data and the differentially corrected range based on 100 s smoothed data. It should be pointed out that identical vertical coefficients,  $S_{vert,i}$  based on geometry and the error model weighting matrix for the 100 s data, are used to calculate both the 30 s solution and the 100 s solution [3].

In order to determine the statistical characteristics of  $V_{diff}$  it is necessary to have a model for  $D_{R,i}$ . A simplified model has been suggested by Mats Brenner of Honeywell and Tim Murphy of Boeing [5]. This model is based on the assumption that  $D_{R,i}$  primarily reflects only the difference in the corrected range errors due to ionospheric delay. For a particular smoothing time constant (first order filter),  $\tau$ , the residual error due to ionospheric delay after application of differential corrections is given by [6]

$$E_{iono}(\tau) = \text{Obliquity}(\theta_i) \times G_{vert\_iono\_gradient} \times (X_{dist\_to\_gf} + 2 \times \tau \times V_{air}) \quad (3)$$

Where the Obliquity factor is related to the satellite elevation angle  $\theta_i$  by [6]

$$\text{Obliquity}(\theta_i) = \frac{1}{\sqrt{1 - (0.948 \times \cos(\theta_i))^2}} \quad (4)$$

$G_{vert\_iono\_gradient}$  is the spatial gradient of the ionospheric vertical delay,  $X_{dist\_to\_gf}$  is the distance of the aircraft from the ground facility and  $V_{air}$  is the aircraft velocity. It is useful to point out that  $E_{iono}(\tau)$  consists of contributions from two effects. The first is caused by the difference in ionospheric delay at the aircraft and the ground facility just due to the separation between them. This effect does not depend on the smoothing filter time constant. The second effect is caused by the lag in the smoothing filter responding to change in delay over time as the aircraft moves through the ionospheric delay spatial gradient. This lag depends on the smoothing filter time constant.  $D_{R,i}$  is just the difference between the values of  $E_{iono}(\tau)$  for  $\tau = 30$  and  $\tau = 100$

$$D_{R,i} = E_{iono}(30) - E_{iono}(100) = -\text{Obliquity}(\theta_i) \times G_{vert\_iono\_gradient} \times 140 \times V_{air} \quad (5)$$

Note that the effect caused by separation of the aircraft from the ground station cancels and the result is just the difference in the effect due to the lag of each smoothing filter.

The ionospheric gradient is assumed to be normally distributed with zero mean and standard deviation denoted  $\sigma_{vert\_iono\_gradient}$ . Therefore, the standard deviation of  $D_R$  is given as a function of elevation angle  $\theta$  by

$$\sigma_{DR}(\theta) = \text{Obliquity}(\theta) \times \sigma_{\text{vert\_iono\_gradient}} \times 140 \times V_{\text{air}} \quad (6)$$

For the analysis it will be further assumed that  $\sigma_{\text{vert\_iono\_gradient}} = 4 \text{ mm/km}$  [7] and that  $V_{\text{air}} = 140 \text{ kts} = 0.072 \text{ km/s}$ . Substituting these values into equation (6) gives

$$\begin{aligned} \sigma_{DR}(\theta) &= \text{Obliquity}(\theta) \times 0.004 \times 140 \times 0.072 \\ &= \text{Obliquity}(\theta) \times 0.04 \text{ m} \end{aligned} \quad (7)$$

A graph of  $\sigma_{DR}$  versus  $\theta$  is shown in Figure 1. Note that at low elevation angles, the value of  $\sigma_{DR}$  increases to approximately  $3 \times 0.04 \text{ m} = 0.12 \text{ m}$ . The graph also shows a plot of  $\sigma_{100}$ , the standard deviation of the differentially corrected range error for 100 s smoothing. In addition to the ionospheric error for 100 s smoothing, the values of  $\sigma_{100}$  include ground station error for ground accuracy designator C with 4 reference stations (GADC-4) [8], and airborne error for accuracy designator B (AAD-B) [8] and multipath designator A (AMD-A) [8]. The graph assumes that the error due to tropospheric delay is negligible (after differential correction). Note the model gives values for  $\sigma_{DR}$  that are much smaller than those for  $\sigma_{100}$ . This is as it should be, since the errors in the 100 s smoothed data and the 30 s smoothed data are likely to be highly correlated and their difference should be very small in comparison to either one.

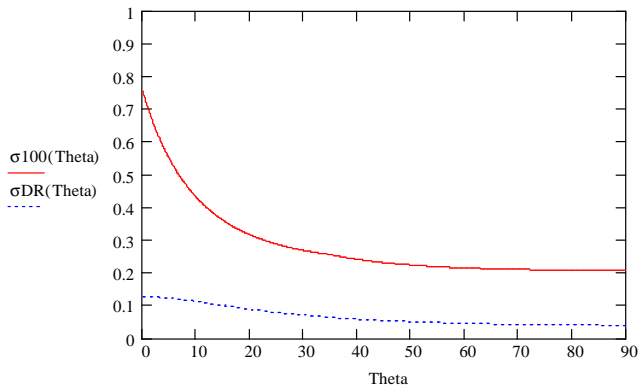


Figure 1. Graph of  $\sigma_{DR}$  and  $\sigma_{100}$  versus Elevation Angle

For use later in the analysis it is convenient to determine the ratio of  $\sigma_{DR}$  to  $\sigma_{100}$

$$R_R(\theta) = \frac{\sigma_{DR}(\theta)}{\sigma_{100}(\theta)} \quad (8)$$

A plot of this ratio versus  $\theta$  is given in Figure 2. Note that the maximum value of  $R_R$  is 0.281 and the minimum value is 0.167.

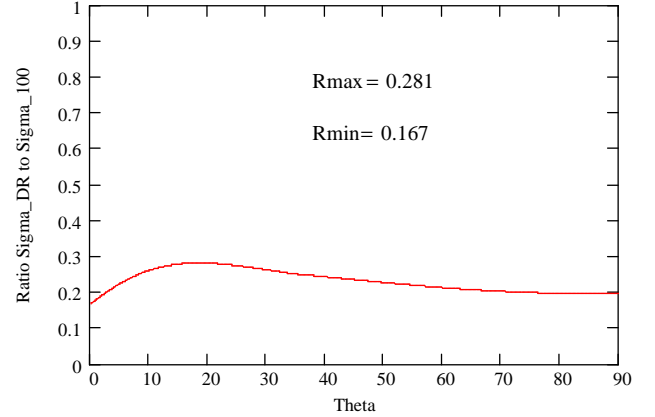


Figure 2. Graph of Ratio of  $\sigma_{DR}$  to  $\sigma_{100}$  versus Elevation Angle

Based on the assumption that the gradient is Normally distributed and equation (2), it is evident that  $V_{\text{diff}}$  is a Normally distributed random variable with standard deviation  $\sigma_{V_{\text{diff}}}$  given by

$$\sigma_{V_{\text{diff}}} = \sqrt{\sum_{i=1}^N S_{\text{vert},i}^2 \times \sigma_{DR}^2(\theta_i)} \quad (9)$$

## MONITORS AND PROTECTION LEVELS USING $D_V$

### DSIGMA Monitor

The DSIGMA monitor applies a fixed threshold of 2 m to  $D_V$  [3], i.e., to the magnitude of  $V_{\text{diff}}$

$$|V_{\text{diff}}| \leq 2 \text{ m} \quad (10)$$

Therefore, the continuity risk from the DSIGMA monitor varies with the actual geometry and is given by

$$CR_{DSIGMA} = 2 \times Q(K_{DSIGMA}) \quad (11)$$

Where  $Q(K)$  is computed from

$$Q(K) = \int_0^{\infty} \frac{1}{K\sqrt{2\pi}} e^{-\frac{x^2}{2}} dx \quad (12)$$

And  $K_{DSIGMA}$  is the equivalent threshold K factor of the DSIGMA monitor

$$K_{DSIGMA} = \frac{2}{\sigma_{V_{diff}}} \quad (13)$$

It should be mentioned at this point in the discussion that the threshold of 2 m for DSIGMA provides adequate integrity protection for ionospheric anomaly errors only if limits are placed on the  $S_{vert}$  coefficients [3]. Such limits would in practice be aircraft specific related to the landing performance and largest undetected error that is deemed tolerable. Representative limits [9] are

$$|S_{vert,i}| \leq 4.0 \quad (14)$$

for any single satellite and

$$|S_{vert,i}| + |S_{vert,j}| \leq 6.0 \quad (15)$$

for any pair of satellites.

#### VPL<sub>H0</sub>

The VPL<sub>H0</sub> protection level represents a bound on the vertical position error in the fault-free condition ( $H_0$  hypothesis). For GAST C (CAT I) VPL<sub>H0</sub> is computed as a factor  $K_{ffmd}$  times the vertical standard deviation of the position solution in the fault-free condition,  $\sigma_{vert,100}$

$$VPL_{H0} = K_{ffmd} \times \sigma_{vert,100} \text{ for GAST C} \quad (16)$$

Where

$$\sigma_{vert,100} = \sqrt{\sum_{i=1}^N S_{vert,i}^2 \times \sigma_{100}^2(\theta_i)} \quad (17)$$

For CAT III (GAST D), since guidance is based on the 30 s solution,  $D_V$  must be added to the CAT I protection level [3]

$$VPL_{H0} = K_{ffmd} \times \sigma_{vert,100} + D_V \text{ for GAST D} \quad (18)$$

For any acceptable satellite geometry the value of VPL<sub>H0</sub> must not exceed the vertical alert limit (VAL) [3]

$$VPL_{H0} \leq VAL \quad (19)$$

Thus, from equations (18) and (19) it is evident that there is an effective threshold on the real time values of  $D_V$ , i.e., on the magnitude of  $V_{diff}$

$$D_V \leq VAL - K_{ffmd} \times \sigma_{vert,100} \quad (20)$$

Therefore, the continuity risk from VPL<sub>H0</sub> varies with the actual satellite geometry and is given by

$$CR_{VPLH0} = 2 \times Q(K_{VPLH0}) \quad (21)$$

where  $K_{VPLH0}$  is the equivalent threshold K factor of VPL<sub>H0</sub>

$$K_{VPLH0} = \frac{VAL - K_{ffmd} \times \sigma_{vert,100}}{\sigma_{V_{diff}}} \quad (22)$$

Where  $\sigma_{V_{diff}}$  is defined in equation (9).

#### RRFM

For GAST D the threat of single ground reference receiver (RR) faults ( $H_1$  hypothesis) is mitigated by an error estimation process in the ground station and a monitor in the aircraft [3, 10]. The ground facility computes B-values which estimate the error in the broadcast correction caused by a fault of a single reference receiver. The B-value for measurements of the  $i^{\text{th}}$  satellite on the  $j^{\text{th}}$  RR is computed by comparing the correction from the  $j^{\text{th}}$  RR to the average of the corrections for the same satellite from the other RRs

$$B_{i,j} = \frac{1}{M[i]} \left[ C_{i,j} - \frac{\sum_{\substack{k=1 \\ k \neq j}}^{M[i]} C_{i,k}}{M[i]-1} \right] \quad (23)$$

Where  $M[i]$  is the number of RRs (typically 4) whose corrections are included in the broadcast average correction for the  $i^{\text{th}}$  satellite and  $C_{i,k}$  is the correction for the  $i^{\text{th}}$  satellite from the  $k^{\text{th}}$  RR. Of course the comparison is scaled by the factor  $1 / M[i]$  to reflect the effect of a fault on the  $j^{\text{th}}$  RR in the average correction for the  $i^{\text{th}}$  satellite.

The B-values are broadcast to the aircraft where they are combined with the position solution vertical geometry coefficients ( $S_{vert,i}$ ) to form an estimate of the vertical error. Since the B-values are based on 100 s corrections,

but the aircraft uses the 30 s solution for guidance, the error estimate also includes  $D_V$ . The resulting vertical error estimate under the hypothesis of a faulty  $j^{\text{th}}$  RR is then

$$Vest_{H1,j} = \left| \sum_{i=1}^N S_{vert,i} \times B_{i,j} \right| + D_V \quad (24)$$

The reference receiver fault monitor (RRFM) in the aircraft applies a threshold to this vertical error estimate

$$Vest_{H1,j} \leq T_{BAC} \quad (25)$$

The threshold  $T_{BAC}$  is chosen as

$$T_{BAC} = K_{RRFM} \times \sigma_{DS} \quad (26)$$

Where

$$\sigma_{DS} = \sqrt{\sigma_{B,vert}^2 + \sigma_{V,diff}^2} \quad (27)$$

And where

$$\sigma_{B,vert} = \sqrt{\sum_{i=1}^N S_{vert,i}^2 \frac{\sigma_{pr\_gnd,i}^2(\theta_i)}{M[i]-1}} \quad (28)$$

Where  $\sigma_{pr\_gnd}$  is the contribution from the ground station to the error in the differential correction. The value of  $K_{RRFM}$  is chosen as small as possible consistent with acceptable continuity risk. The value assumed for the analysis is 5.5, the minimum allowed by [3].

It might at first be thought that the continuity risk for the RRFM would be computed as  $2 \times Q(K_{RRFM}) = 2 \times Q(5.5) = 4 \times 10^{-8}$ . However, close inspection of equation (24) reveals that in the fault-free case  $Vest_{H1,j}$  is the sum of the magnitudes of two Gaussian random variables whose standard deviations are root-sum-squared in equation (27) to obtain  $\sigma_{DS}$ . Thus, the probability that the sum of the magnitudes exceeds a given value now includes the combinations where the two random variables have opposite sign in addition to the two cases where they have the same sign. Consequently, the continuity risk is essentially doubled and is found by direct integration of the magnitude density functions to be approximately  $8 \times 10^{-8}$ .

Note that setting the threshold  $T_{BAC}$  as in equation (26) with  $K_{RRFM}$  always equal to 5.5 gives a continuity risk always equal to  $8 \times 10^{-8}$  regardless of satellite geometry.

However,  $T_{BAC}$  cannot be allowed to increase indefinitely as the satellite geometry gets worse. Obviously, as  $T_{BAC}$  increases, the minimum size error that the monitor will miss with an acceptable probability increases. Therefore, there must be a limit on  $T_{BAC}$  based on meeting requirements for safety of the CAT III landing. The largest acceptable value of  $T_{BAC}$  depends on the landing characteristics of a particular aircraft. Therefore, a detailed discussion of determining a limit on  $T_{BAC}$  is beyond the scope of this current paper. However, in [10] it was shown that a maximum  $T_{BAC}$  of approximately 3.8 m would allow safety of landing requirements to be met for representative aircraft landing characteristics. Therefore, it will be assumed in the analysis that

$$T_{BAC} \leq 3.8 \text{ m} \quad (29)$$

Combining equation (29) with equation (26) and using the assumed value of  $K_{RRFM} = 5.5$  gives the following constraint on  $\sigma_{DS}$

$$\sigma_{DS} = \frac{T_{BAC}}{K_{RRFM}} \leq \frac{3.8}{5.5} = 0.691 \text{ m} \quad (30)$$

## CONTINUITY RISK ALLOCATIONS

The overall continuity risk allocation for GAST D is still under discussion as of this writing. However, a total allocation of  $10^{-5}$  per operation has been proposed [1]. The operation includes a period of 15 s when both the lateral and vertical guidance must be maintained during the critical time just prior to crossing the runway threshold followed by another period of 15 s when only the lateral guidance is of concern during the flare, touchdown and roll-out [1]. Of interest in this paper are the continuity risk allocations to processes in the aircraft during the 15 s when the vertical guidance is of concern. An example in [1] proposes that  $1.5 \times 10^{-7}$  be allocated to airborne monitors and that  $4 \times 10^{-8}$  be allocated to protection levels exceeding alert limits with no configuration change (no loss of satellite or ground reference receiver). It should be noted that the above allocations apply to the total of both vertical and lateral continuity risk. However, for this analysis the entire allocation for each case will be associated with only the vertical continuity risk since the application of monitors and protection level limits is always more constraining in the vertical dimension. It is further reiterated in [1] that these allocations must apply to any specific satellite subset geometry that could be used for navigation.

## THEORETICAL RESTRICTIONS ON $\sigma_{Vdiff}$ DUE TO EACH CONTINUITY CONSTRAINT

It is useful at this point in the discussion to derive the largest value of  $\sigma_{Vdiff}$  that would theoretically be allowed by each separate continuity constraint for RRFM, DSIGMA or  $VPL_{H0}$  or by  $VPL_{H0}$  without any allowance for continuity risk. The constraints for continuity risk will be derived assuming the above allocations are met. These predictions are useful for investigating which constraints may predominate over others and therefore whether all constraints must actually be implemented to limit the continuity risk as desired.

### Limit on $\sigma_{Vdiff}$ Due to DSIGMA Continuity Constraint

Since the total continuity allocation for monitors is  $1.5 \times 10^{-7}$  and the RRFM monitor is designed to always achieve  $8 \times 10^{-8}$ , a residual sub-allocation of  $7 \times 10^{-8}$  will be assumed for DSIGMA. The corresponding minimum value of  $K_{DSIGMA}$  is 5.4. The corresponding limit on  $\sigma_{Vdiff}$  is found using equation (13) to be

$$\sigma_{Vdiff} \leq \frac{2}{5.4} = 0.37 \text{ m} \quad (31)$$

### Limit on $\sigma_{Vdiff}$ from $VPL_{H0}$ With Continuity Constraint

The sub-allocation of  $4 \times 10^{-8}$  for protection levels exceeding alert limits with no configuration change will be assumed to be completely allocated to  $VPL_{H0}$ . The corresponding minimum value of  $K_{VPLH0}$  is 5.5. From equation (22)

$$\frac{K_{VPLH0}}{\sigma_{Vdiff}} = \frac{VAL - K_{ffmd} \times \sigma_{vert,100}}{\sigma_{Vdiff}} \geq 5.5 \quad (32)$$

A constraint on  $\sigma_{Vdiff}$  can be found by assuming a relationship between  $\sigma_{Vdiff}$  and  $\sigma_{vert,100}$ . Suppose for the moment that

$$\sigma_{Vdiff} = R_V \times \sigma_{vert,100} \quad (33)$$

Then substituting from equation (33) into equation (32) gives

$$\frac{VAL - K_{ffmd} \times \frac{\sigma_{Vdiff}}{R_V}}{\sigma_{Vdiff}} \geq 5.5 \quad (34)$$

Solving equation (34) for  $\sigma_{Vdiff}$  establishes the following upper limit

$$\sigma_{Vdiff} \leq \frac{VAL}{5.5 + \frac{K_{ffmd}}{R_V}} \quad (35)$$

To establish the value of  $R_V$  recall the above discussion regarding  $R_R(\theta)$ , the ratio of  $\sigma_{DR}(\theta)$  to  $\sigma_{100}(\theta)$  (equation (8)). Using  $R_R(\theta)$  in the expression for  $\sigma_{Vdiff}$  from equation (9) gives

$$\begin{aligned} \sigma_{Vdiff} &= \sqrt{\sum_{i=1}^N S_{vert,i}^2 \times \sigma_{DR}^2(\theta_i)} \\ &= \sqrt{\sum_{i=1}^N S_{vert,i}^2 \times R_R^2(\theta_i) \times \sigma_{100}^2(\theta_i)} \end{aligned} \quad (36)$$

Then if

$$R_{min} \leq R_R(\theta_i) \leq R_{max} \quad (37)$$

It follows that

$$\begin{aligned} R_{min} \times \sqrt{\sum_{i=1}^N S_{vert,i}^2 \times \sigma_{100}^2(\theta_i)} \\ \leq \sqrt{\sum_{i=1}^N S_{vert,i}^2 \times R_R^2(\theta_i) \times \sigma_{100}^2(\theta_i)} \\ \leq R_{max} \times \sqrt{\sum_{i=1}^N S_{vert,i}^2 \times \sigma_{100}^2(\theta_i)} \end{aligned} \quad (38)$$

Substituting the expression for  $\sigma_{vert,100}$  from equation (17) into equation (38) gives

$$R_{min} \times \sigma_{vert,100} \leq \sigma_{Vdiff} \leq R_{max} \times \sigma_{vert,100} \quad (39)$$

Or alternatively

$$R_{min} \leq R_V \leq R_{max} \quad (40)$$

Substituting the values shown in Figure 2 of  $R_{max} = 0.281$  and  $R_{min} = 0.167$  for  $R_V$  in equation (35) and further assuming  $VAL = 10.0$  m and  $K_{ffmd} = 5.84$  gives a maximum value of  $\sigma_{Vdiff}$  ranging from 0.247 m to 0.380 m.

### Limit on $\sigma_{Vdiff}$ from $VPL_{H0}$ Without Continuity Constraint

Even without including a continuity constraint for  $D_V$ , the requirement to have  $VPL_{H0}$  less than  $VAL$  gives a limit on  $\sigma_{vert,100}$  and therefore indirectly a limit on  $\sigma_{Vdiff}$ . Ignoring  $D_V$  in equation (18) and making use of equation (19) gives

$$K_{ffmd} \times \sigma_{vert,100} \leq VAL \quad (41)$$

And the following limit on  $\sigma_{vert,100}$

$$\sigma_{vert,100} \leq \frac{VAL}{K_{ffmd}} = \frac{10}{5.84} = 1.71 \text{ m} \quad (42)$$

Making use of equation (42) in equation (39) gives

$$R_{min} \times 1.71 \leq \sigma_{Vdiff} \leq R_{max} \times 1.71 \quad (43)$$

And substituting the values of  $R_{max} = 0.281$  and  $R_{min} = 0.167$  gives a maximum value of  $\sigma_{Vdiff}$  ranging from 0.286 m to 0.481 m.

### Limit on $\sigma_{Vdiff}$ from RRFM

Recalling from the discussion of RRFM above and equation (30) the limit on  $\sigma_{DS}$  is

$$\sigma_{DS} \leq 0.691 \text{ m} \quad (44)$$

Substituting for  $\sigma_{DS}$  using equation (27) gives

$$\sqrt{\sigma_{B,vert}^2 + \sigma_{Vdiff}^2} \leq 0.691 \text{ m} \quad (45)$$

A corresponding limit on  $\sigma_{Vdiff}$  can be obtained by establishing a relationship between  $\sigma_{Vdiff}$  and  $\sigma_{B,vert}$ . This may be accomplished by first finding the ratio  $R_B$  of  $\sigma_{B,vert}$  to  $\sigma_{vert,100}$

$$\sigma_{B,vert} = R_B \times \sigma_{vert,100} \quad (46)$$

And then making use of this relationship in equation (33) that relates  $\sigma_{Vdiff}$  to  $\sigma_{vert,100}$ .

$$\sigma_{B,vert} = R_B \times \sigma_{vert,100} = \frac{R_B}{R_V} \times \sigma_{Vdiff} \quad (47)$$

Substituting equation (47) into equation (45) and solving for  $\sigma_{Vdiff}$  gives

$$\sigma_{Vdiff} \leq \frac{0.691 \text{ m}}{\sqrt{\left(\frac{R_B}{R_V}\right)^2 + 1}} \quad (48)$$

Let  $R_G(\theta)$  be the ratio of  $\sigma_{pr\_gnd}(\theta)$  to  $\sigma_{100}(\theta)$

$$\sigma_{pr\_gnd}(\theta) = R_G(\theta) \times \sigma_{100}(\theta) \quad (49)$$

It can be determined from examination of the error models for  $\sigma_{pr\_gnd}(\theta)$  and  $\sigma_{100}(\theta)$  that

$$0.159 \leq R_G(\theta) \leq 0.469 \quad (50)$$

Then from the definition of  $\sigma_{B,vert}$  from equation (28) and assuming  $M[i] - 1$  is always equal to 3 gives

$$\begin{aligned} \sigma_{B,vert} &= \frac{1}{\sqrt{3}} \sqrt{\sum_{i=1}^N S_{vert,i}^2 \times \sigma_{pr\_gnd,i}^2(\theta_i)} \quad (51) \\ &= \frac{1}{\sqrt{3}} \sqrt{\sum_{i=1}^N S_{vert,i}^2 \times R_G(\theta_i) \sigma_{100,i}^2(\theta_i)} \end{aligned}$$

Making use of the limits on  $R_G(\theta)$  from equation (50) and the expression for  $\sigma_{vert,100}$  from equation (17) it can easily be shown that

$$\begin{aligned} \frac{0.159}{\sqrt{3}} \times \sigma_{vert,100} &\leq \sigma_{B,vert} \\ &\leq \frac{0.469}{\sqrt{3}} \times \sigma_{vert,100} \end{aligned} \quad (52)$$

Or alternatively

$$0.092 \leq R_B \leq 0.271 \quad (53)$$

Substituting the extremes of 0.092 and 0.271 for  $R_B$  and 0.167 and 0.281 for  $R_V$  (equation (40)) into equation (48) gives a maximum value of  $\sigma_{Vdiff}$  ranging from 0.363 m to 0.657 m.

## THEORETICAL RESTRICTIONS ON CONTINUITY RISK DUE TO EACH CONTINUITY CONSTRAINT

### VPL<sub>H0</sub>

Of course, if the VPL<sub>H0</sub> continuity constraint is applied, the theoretical limit on CR<sub>VPLH0</sub> is the design limit, which in this case is  $4.0 \times 10^{-8}$ . If however, this constraint is not applied, but other constraints for RRFM and DSIGMA are applied,  $\sigma_{Vdiff}$  will be limited. However, geometries could still be allowed where  $K_{ffind} \times \sigma_{vert,100}$  approaches VAL. Therefore, there is no guarantee that there will be any margin for non-zero variations of D<sub>V</sub> in the comparison of VPL<sub>H0</sub> to VAL. Consequently, without the explicit VPL<sub>H0</sub> continuity constraint applied, the theoretical limit on CR<sub>VPLH0</sub> is 1.0.

### DSIGMA

Table 1 summarizes the theoretical limits on continuity risk from DSIGMA if each constraint is applied individually giving the resulting limits on  $\sigma_{Vdiff}$  just derived above.

**Table 1. DSIGMA Continuity Risk for Each Constraint Applied Separately**

Function	DSIGMA	H <sub>0</sub> with Continuity	H <sub>0</sub> w/o Continuity	RRFM
Max $\sigma_{Vdiff}$ (m)	0.370	0.247 to 0.380	0.286 to 0.481	0.363 to 0.657
Max CR <sub>DSIGMA</sub> <sup>1</sup>	$7 \times 10^{-8}$	$6.7 \times 10^{-16}$ to $1.5 \times 10^{-7}$	$3.1 \times 10^{-12}$ to $3.3 \times 10^{-5}$	$3.7 \times 10^{-8}$ to $2.3 \times 10^{-3}$

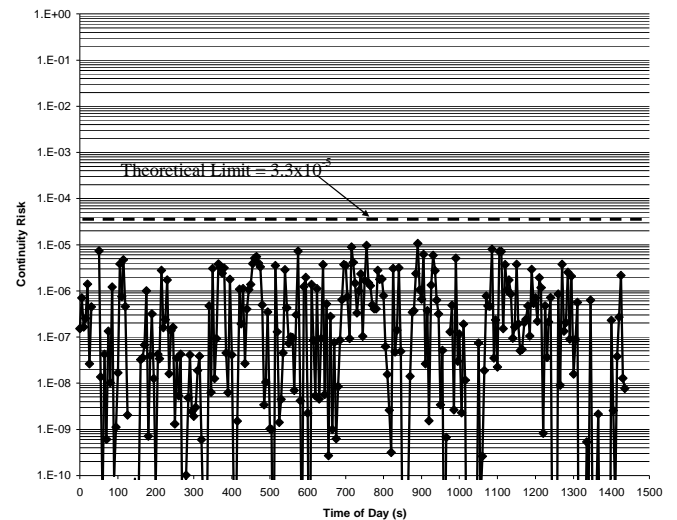
<sup>1</sup> Calculated by substituting the maximum  $\sigma_{Vdiff}$  value into equation (13) and using in equation (11).

## CONTINUITY RISK RESULTS

Representative continuity results will now be presented for Seattle for an idealized constellation consisting of 24 satellites in the primary orbital slots [11]. The graphs show the continuity risk at 5 minute intervals over the 24 hour day. The value shown at each time sample is the worst for any subset of visible satellites that meets the indicated geometry criteria. Different cases will be examined for whether or not the constraints for DSIGMA or VPL<sub>H0</sub> are enforced. In all cases at least the following constraints are enforced: 1) S<sub>vert</sub> for DSIGMA from equations (14) and (15), 2) RRFM from equation (30) and 3) VPL<sub>H0</sub> without continuity consideration from equation (41).

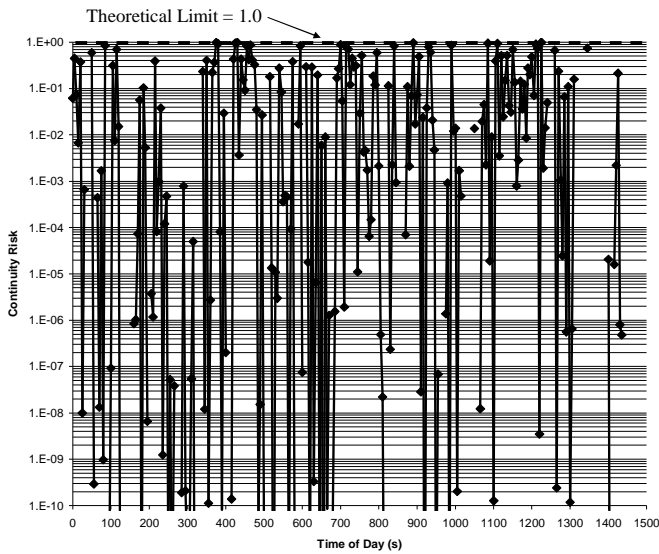
### No Continuity Constraints for DSIGMA or VPL<sub>H0</sub>

Continuity risk results with no continuity constraints for either DSIGMA or VPL<sub>H0</sub> are shown in Figures 3 and 4. The result for DSIGMA continuity risk is given in Figure 3. Note that the largest worst-case risk values occasionally approach or just slightly exceed  $10^{-5}$ . Referring back to Table 1 it can be seen that the theoretical maximum CR<sub>DSIGMA</sub> as limited by RRFM is  $2.3 \times 10^{-3}$ . However, a lower theoretical maximum CR<sub>DSIGMA</sub> of  $3.3 \times 10^{-5}$  is associated with the H<sub>0</sub> w/o continuity limit. Note that the maximum values of about  $1 \times 10^{-5}$  in Figure 3 are just slightly less than the more restrictive prediction for VPL<sub>H0</sub> w/o continuity. The results for VPL<sub>H0</sub> continuity risk are given in Figure 4. Note that the worst-case risk values approach 1.0 as predicted.

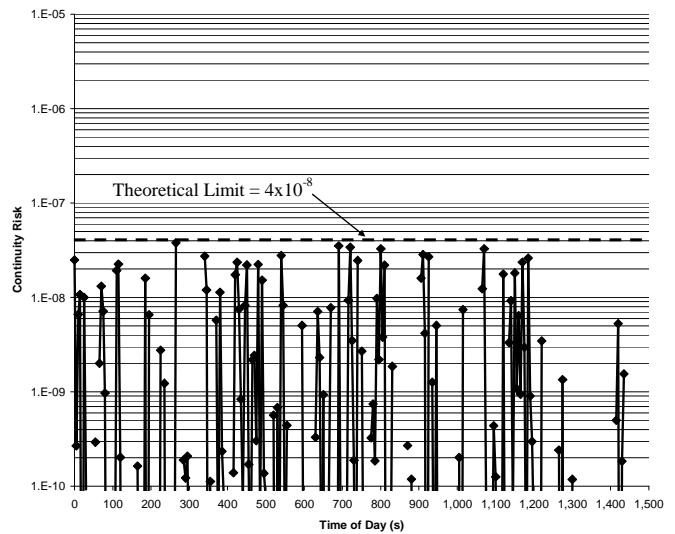


**Figure 3. DSIGMA Continuity Risk with No Continuity Constraints for DSIGMA or VPL<sub>H0</sub>**





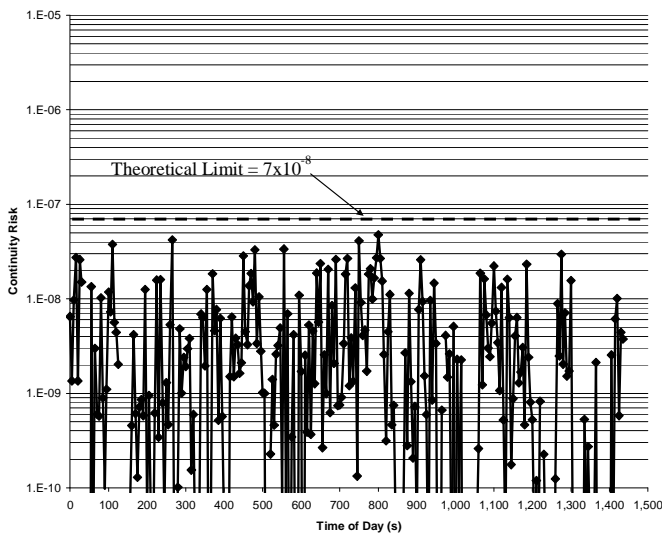
**Figure 4. VPL<sub>H0</sub> Continuity Risk with No Continuity Constraints for DSIGMA or VPL<sub>H0</sub>**



**Figure 6. VPL<sub>H0</sub> Continuity Risk with Both DSIGMA and VPL<sub>H0</sub> Continuity Constraints**

**Both DSIGMA and VPL<sub>H0</sub> Constraints Applied**

Continuity risk results with all constraints applied are shown in Figure 5 for DSIGMA and in Figure 6 for VPL<sub>H0</sub>. Note that the largest values of CR<sub>DSIGMA</sub> approach, but do not exceed, the design value of  $7 \times 10^{-8}$ . A similar observation applies to VPL<sub>H0</sub> risk for this case, i.e., the largest values of CR<sub>VPLH0</sub> in Figure 6 approach, but do not exceed, the design value of  $4 \times 10^{-8}$ . These results are exactly as expected and serve to confirm the analysis.



**Figure 5. DSIGMA Continuity Risk with Both DSIGMA and VPL<sub>H0</sub> Continuity Constraints**

**With VPL<sub>H0</sub> Constraint, Without DSIGMA Constraint**

Continuity risk results were computed without the DSIGMA continuity constraint but with the VPL<sub>H0</sub> constraint. These results were found to be identical to those in Figures 5 and 6. Referring back to Table 1 it can be observed that the theoretical maximum CR<sub>DSIGMA</sub> with only the VPL<sub>H0</sub> continuity constraint applied is only slightly larger ( $1.5 \times 10^{-7}$ ) than the design objective for CR<sub>DSIGMA</sub> ( $7 \times 10^{-8}$ ). This prediction and the lack of increase in the maximum CR<sub>DSIGMA</sub> when the DSIGMA continuity constraint was removed seem to indicate that the VPL<sub>H0</sub> continuity constraint restricts CR<sub>DSIGMA</sub> at least as much as does the DSIGMA continuity constraint itself. Consequently, it might conceivably be argued by examination of more locations other than just Seattle that an explicit DSIGMA continuity risk geometry limit is not needed if a VPL<sub>H0</sub> continuity risk geometry limit is enforced. However, it should be made clear that a similar argument cannot be made with the roles of DSIGMA and VPL<sub>H0</sub> reversed. That is, implementing a DSIGMA continuity constraint could not alone ensure acceptable VPL<sub>H0</sub> continuity risk.

**AVAILABILITY RESULTS**

Of paramount interest in this analysis is the effect of implementing additional continuity constraints for DSIGMA and VPL<sub>H0</sub> on the availability of adequate satellite geometry. Figure 7 shows a plot of (un)availability results for various cases with and without continuity constraints implemented. The results assume an idealized constellation of 24 satellites in primary orbital slots [11] with constellation state probabilities due to satellite failures corresponding to IFOR objective

values [12]. Each bar in a group represents the daily average (un)availability at one of 78 CAT III locations in CONUS.

The first group of results on the left is for the fundamental constraint that  $VPL_{H0}$  not exceed a VAL of 10 m. From the graph it can be seen that for this baseline result the typical (un)availability is about  $2 \times 10^{-4}$  with corresponding availability of typically  $1 - 2 \times 10^{-4} = 0.9998$ . Note that all other cases add other constraints to this fundamental constraint. The second group of results adds only the  $VPL_{H0}$  continuity constraint. The resulting availability decreases to at worst  $1 - 4 \times 10^{-4} = 0.9996$ . The third group of results adds only the RRFM continuity constraint. As can be seen from the graph, the addition of RRFM is no more restrictive than is just the fundamental

$VPL_{H0}$  constraint. The fourth group of results adds only the DSIGMA  $S_{vert}$  constraints. This case is most restrictive of all with worst availability of about  $1 - 6 \times 10^{-4} = 0.9994$ . The fifth group of results adds only the DSIGMA continuity constraint. With availability of no worse than  $1 - 3 \times 10^{-4} = 0.9997$ , this case is not as restrictive as is the case adding only the  $VPL_{H0}$  continuity constraint. Of course based on the preceding observations it is not surprising that availability for the final set of results implementing all constraints is exactly the same as for adding only the  $S_{vert}$  constraints. Consequently, implementing additional constraints for  $VPL_{H0}$  and DSIGMA continuity risk would not decrease availability below that achieved when DSIGMA  $S_{vert}$  (and RRFM) constraints are implemented as already required.

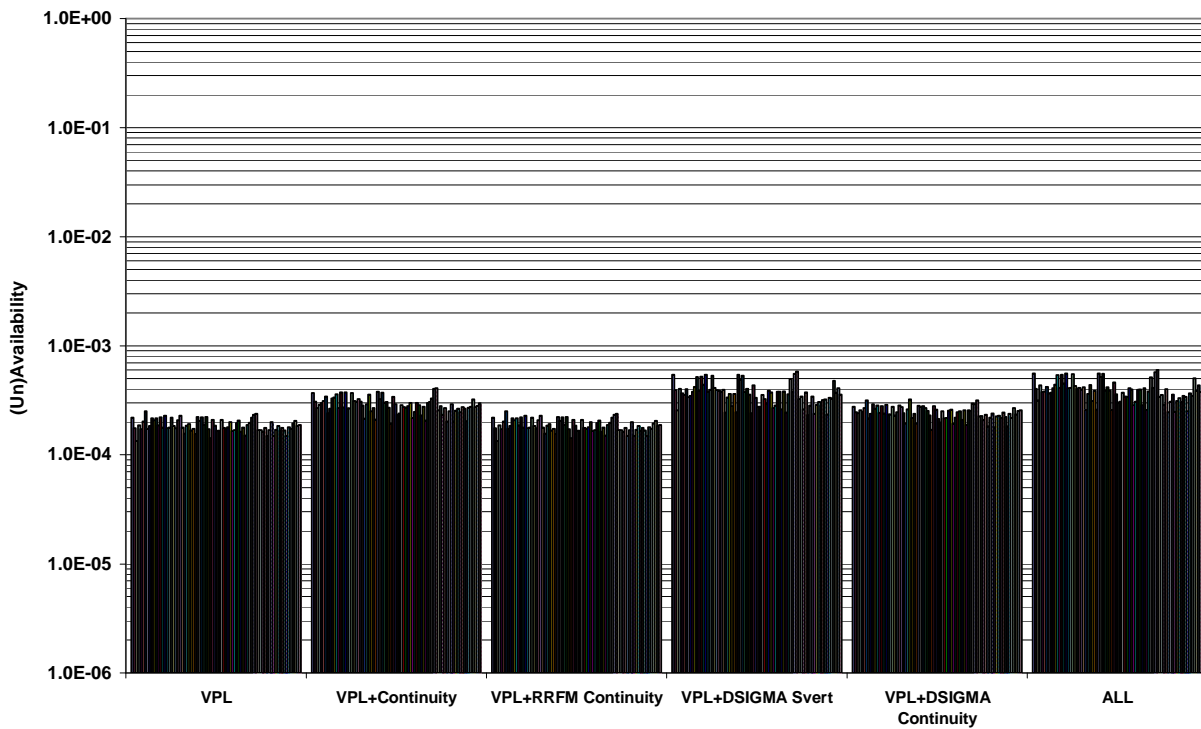


Figure 7. GAST D Availability With and Without Continuity Restrictions

**SUMMARY**

- Mitigation of ionospheric anomalies involves computing the difference,  $V_{diff}$ , between positions based on 30 s smoothed corrections and 100 s smoothed corrections.  $D_V$ , the magnitude of  $V_{diff}$ , is compared to a threshold by the DSIGMA monitor. Since the 30 s solution is used for navigation, other monitors and protection levels computed in the aircraft must also include the  $D_V$  term.

- Since  $D_V$  varies in real time, the effect of  $D_V$  on continuity risk from monitors and protection levels must be considered.
- Current specifications include a restriction to limit continuity risk from the reference receiver fault monitor (RRFM). These specifications also limit the vertical solution coefficient for any satellite,  $S_{vert}$ , in order to ensure adequate integrity from DSIGMA. However, these specifications do not include constraints to limit continuity risk from either

DSIGMA or from the  $VPL_{H0}$  protection level computation, which will also include  $D_v$ .

- The paper has identified continuity constraints needed to separately meet previously proposed continuity risk allocations applied to DSIGMA and  $VPL_{H0}$ . These constraints along with the RRFM constraint each independently dictate different limits on the maximum value of  $\sigma_{V_{diff}}$ , the standard deviation of  $V_{diff}$ . Therefore, theoretical predictions for these limits have been derived in order to study the interplay of the various constraints.
- Illustrative continuity results have been computed for Seattle using an idealized 24 satellite constellation. These results indicate that with just the RRFM constraint, continuity risk values for DSIGMA or for  $VPL_{H0}$  are unacceptable. However, with constraints applied, the design values are of course achieved. Furthermore, the  $VPL_{H0}$  constraint predominates in such a manner that it alone is arguably sufficient to ensure adequate continuity risk from both  $VPL_{H0}$  and DSIGMA.
- Results for availability of adequate satellite geometry indicate that implementing additional constraints for continuity risk from the DSIGMA monitor and  $VPL_{H0}$  would not decrease availability below that associated with already specified constraints for RRFM and DSIGMA  $S_{vert}$ .

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